

# Formal Language Theory 1

A fundamental question of modern linguistics:

- (1) How do speakers of a natural language produce and understand novel expressions?
- (2) President Trump was concerned that his pet platypus wasn't drinking enough milk.

Modern linguists' response:

- (3) Speakers have internalized a **grammar** for their language.

A grammar  $G$  consists of

1. a set of lexical items - meaningful words and morphemes- and,
2. some rules that allow us to combine lexical items to form an arbitrarily many complex expressions.

- (4)  $G = \langle Lex_G, R_G \rangle$

Grammars for natural languages must be **sound** and **complete**.

- (5)
  - a. **Sound:**  $G$  generates **only** expressions judged grammatical.
  - b. **Complete:**  $G$  generates **all** expressions judged grammatical.

The **language** generated by a grammar  $G$ ,  $L_G$ , is the closure of  $Lex_G$  under  $R_G$ .

- Applying the rules in  $R$  to the elements of  $Lex$ , and then applying the rules to the result, and the applying the rules to the result etc. etc.

∴ A language is a set of expressions.

- Since  $L$ s are sets, we can apply usual set-theoretic operations on them ( $\cup$ ,  $\cap$ ,  $-$ ).

Grammars give us ways of defining languages.

## 1 String languages

We start with a set  $\Sigma$ , the **alphabet**.

- (6) Simple alphabet:  
 $\Sigma = \{a, b, c\}$

- The **words** on  $\Sigma$  are the finite strings on the elements of  $\Sigma$ . ( $a$ ,  $abaa$ ,  $acb$ , etc.)
- The empty string  $\epsilon$  is a word.
- $\Sigma^*$  is the set of all the words on  $\Sigma$ . (Kleene star)
- A (string) language  $L$  is a subset of  $L \subseteq \Sigma^*$ .

- (7) Examples of languages

- a.  $L_1 = \{\epsilon, a, b, c, aa, ab, ba, bb, bc, ca, cb, cc\}$ . This is the set of words of length at most 2.

- b.  $L_2 = \{a, aa, aaa, aaaa, \dots a^n, \dots\}$ . words of length 1 consisting of all *as*.
- c.  $a^n b^n = \{ab, aabb, aaabbb, aaaabbbb, \dots\}$

(8a) is a **finite** language, so if we want to define it, we can just list it.

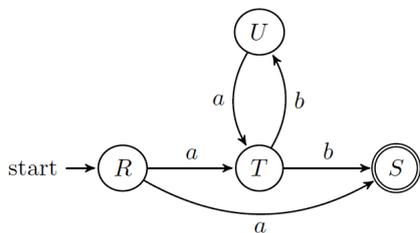
- (8b-c) are infinite languages.

Three (equivalent) ways of defining (some) infinite languages.

1. Finite state automata. (machines)
2. Regular expressions. (pattern)
3. Regular grammars. (grammar)

## 2 Finite State Automata

$\mathcal{A}$



R = start state. S = end/accepting state.

$\mathcal{A}$  accepts the strings that are constructed by starting at the start state, following the arrows, and getting to the end state.

- $L(\mathcal{A}) =$  the strings accepted by  $\mathcal{A}$ .

(8) Accept?

- a. a
- b. b
- c. aa
- d. ab
- e. ababab
- f. abaa

**Définition 2.1** An FSA over  $\Sigma$   $A = \langle Q, \Sigma, \delta, q, F \rangle$ , where

1.  $Q =$  a set of states  $\{q_0, q_1, q_2 \dots q_n\}$
2.  $\Sigma =$  a finite alphabet.
3.  $\delta$  is a transition function from  $Q \times \Sigma$  to  $\mathcal{P}(Q)$ .
4.  $q_0$  is the start state.
5.  $F =$  is the set of accepting states  $\{q_m, q_n \dots\}$ .

$\mathcal{A} = \langle Q, \Sigma, \delta, q_0, F \rangle$ , where

1.  $Q = \{R, T, U, S\}$

2.  $\Sigma = \{a, b\}$

3.  $F = \{S\}$

(9) a.  $\delta(R, a) = \{T, S\}$

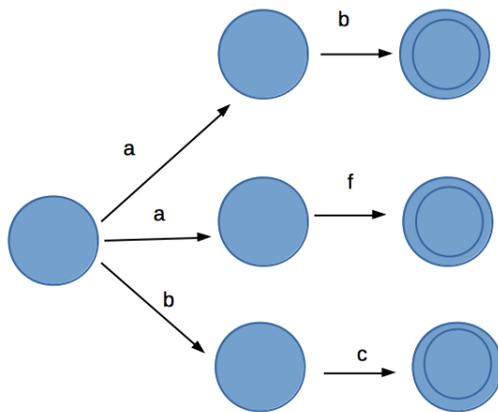
b.  $\delta(T, b) = \{U, S\}$

c.  $\delta(U, a) = \{T\}$

**Définition 2.2** The set of **regular languages**,  $Reg$ , =  $\{L: L(A), \text{ for some FSA } A\}$ .

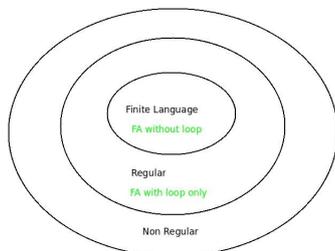
**Théorème 2.1** All finite  $L$ s are regular. ( $L_{fin}$ )

Proof sketch: Consider  $L = \{ab, af, bc\}$



Some regular languages are infinite (eg.  $a^n$ ), so we have a hierarchy...

- The set of formal languages has structure!



Are there other subclasses of languages?

(10)  $\mathcal{P}(\Sigma^*)$  denotes all the languages over  $\Sigma$ .

(11) Queries

a.  $\mathcal{P}(\Sigma^*) = Reg?$

b. Natural languages:  $NL \subseteq Reg?$

**Proposal:**  $NL \not\subseteq Reg$ .

- To argue in favour of our proposal, we will show that English (ENG)  $\notin Reg$ .